



212

REF ID: A
2003

Attorney's Docket No.: 074451.P118

Patent

In re the Application of: David G. Stork

(inventor(s))

Application No.: 09/534,950

Filed: March 24, 2000

For: A Method and Apparatus for Open Data Collection
(title)

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COMMISSIONER FOR PATENTS
U.S. Patent and Trademark Office
Washington, D.C. 20231

MAY 07 2003

Technology Center 2100

SIR: Transmitted herewith is an Amendment for the above application.

- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
- No additional fee is required.
- Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences with corresponding check in the amount of \$320.00 is enclosed.

The fee has been calculated as shown below (FY 2003):

| | (Col. 1) | (Col. 2) | (Col. 3) |
|--|----------|-----------|--------------------|
| Total Claims | * 22 | Minus ** | 22 Present Extra 0 |
| Indep. Claims | * 9 | Minus *** | 7 2 |
| First Presentation of Multiple Dependent Claim(s) | | | |

| SMALL ENTITY | |
|----------------|----------------|
| Rate | Additional Fee |
| X9 | \$ |
| X42 | \$ |
| +140 | \$ |
| Total Add. Fee | \$ |

| OTHER THAN A SMALL ENTITY | |
|---------------------------|----------------|
| Rate | Additional Fee |
| X18 | \$ 0 |
| X84 | \$ 84.00 |
| +280 | \$ |
| Total Add. Fee | \$ 84.00 |

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Box AF, Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231

on April 29, 2003
Date of Deposit

Judith A. Szepesi

Name of Person Mailing Correspondence

 4/29/03

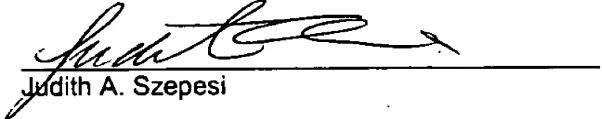
Date

- A check in the amount of \$ 84.00 is attached for presentation of additional claim(s).
Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).
- Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S.
Patent and Trademark Office to (1) treat any concurrent or future reply that requires
a petition for extension of time as incorporating a petition for extension of time for the
appropriate length of time and (2) charge all required fees, including extension of time
fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.
A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.
Please charge my Deposit Account No. 02-2666 the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
- The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit Account
No. 02-2666 (**a duplicate copy of this sheet is enclosed**):
- Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.
- Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 4/29/03

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8300



Judith A. Szepesi

Reg. No. 39,393



FIRST CLASS CERTIFICATE OF MAILING

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Name of Person Mailing Correspondence


Signature

4/29/03

Date

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Technology Center 2100

Serial/Patent No.: 09/534,950 Filing/Issue Date: March 24, 2000

Client: Ricoh Corporation

Title: A Method and Apparatus for Open Data Collection

BSTZ File No.: 074451, P118
Date Mailed: April 29, 2003

Atty/Secty Initials: MJM/JAS/bks

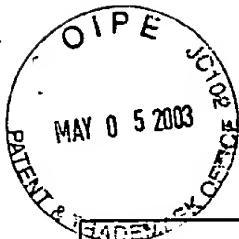
Docket Due Date: April 29, 2003

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (8 pgs.)
- Appeal Brief (pgs.) (in triplicate)
- Application - Utility (pgs., with cover and abstract)
- Application - Rule 1.53(b) Continuation (pgs.)
- Application - Rule 1.53(b) Divisional (pgs.)
- Application - Rule 1.53(b) CIP (pgs.)
- Application - Rule 1.53(d) CPA Transmittal (pgs.).
- Application - Design (pgs.)
- Application - PCT (pgs.)
- Application - Provisional (pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing
- Declaration & POA (pgs.)
- Disclosure Docs & Orig & Copy of Inventor's Signed Letter (pgs.)
- Drawings: # of sheets includes figures

- Express Mail No.: _____ Check No. 57230
 _____ Month(s) Extension of Time Amt: 84.00
- Information Disclosure Statement & PTO-1449 (pgs.) Check No. _____
 _____ Amt: _____
- Issue Fee Transmittal
- Notice of Appeal
- Petition for Extension of Time
- Petition for _____
- Postcard
- Power of Attorney (pgs.)
- Preliminary Amendment (pgs.)
- Reply Brief (pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter, in duplicate
- Fee Transmittal, in duplicate

Other: _____



FEE TRANSMITTAL FOR FY 2003

TOTAL AMOUNT OF PAYMENT (\$) 84.00

Complete if Known:

Application No. 09/534,950
 Filing Date March 24, 2000
 First Named Inventor David G. Stork
 Group Art Unit 2121
 Examiner Name Booker, Kelvin E.
 Attorney Docket No. 074451.P118

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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Deposit Account Number 02-2666
 Deposit Account Name

MAY 07 2003

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Technology Center 2100

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: X Check
 Money Order
 Other

FEE CALCULATION

1. **BASIC FILING FEE**

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|---------------------|--|-----------------|
| Fee Code | Fee Code | | |
| 1001 | 750 | 2001 375 Utility application filing fee | |
| 1002 | 330 | 2002 165 Design application filing fee | |
| 1003 | 520 | 2003 260 Plant filing fee | |
| 1004 | 750 | 2004 375 Reissue filing fee | |
| 1005 | 160 | 2005 80 Provisional application filing fee | |

SUBTOTAL (1) \$

2. **EXTRA CLAIM FEES**

| | <u>Extra Claims</u> | <u>Fee from below</u> | <u>Fee Paid</u> |
|-----------------------------|---------------------|-----------------------|----------------------|
| Total Claims <u>22</u> | <u>- 22** = 0</u> | <u>X</u> | <u>=</u> |
| Independent Claims <u>9</u> | <u>- 7** = 2</u> | <u>X</u> | <u>42.00 = 84.00</u> |
| Multiple Dependent | | | |

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

| <u>Fee</u> | <u>Fee</u> | <u>Fee</u> | <u>Fee</u> | <u>Fee Description</u> |
|------------|------------|------------|------------|---|
| Code | Code | Code | Code | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 84.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large Entity</u> | | <u>Small Entity</u> | | | |
|---------------------|--------|---------------------|--------|--|-----------------|
| Fee | Fee | Fee | Fee | <u>Fee Description</u> | <u>Fee Paid</u> |
| Code | (\$) | Code | (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | _____ |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | _____ |
| 1053 | 130 | 1053 | 130 | Non-English specification | _____ |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | _____ |
| 1813 | 8,800 | 1813 | 8,800 | Request for inter partes reexamination | _____ |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | _____ |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SiR after Examiner action | _____ |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | _____ |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | _____ |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | _____ |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | _____ |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | _____ |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | _____ |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | _____ |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | _____ |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | _____ |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | _____ |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | _____ |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | _____ |
| 1502 | 470 | 2502 | 235 | Design issue fee | _____ |
| 1503 | 630 | 2503 | 315 | Plant issue fee | _____ |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | _____ |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | _____ |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | _____ |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | _____ |
| 1809 | 750 | 2809 | 375 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | _____ |
| 1814 | 110 | 2814 | 55 | Statutory Disclaimer | _____ |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (see 37 CFR 1.129(b)) | _____ |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | _____ |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | _____ |
| 1504 | 300 | 1504 | 300 | Publication fee for early, voluntary, or normal pub. | _____ |
| 1505 | 300 | 1505 | 300 | Publication fee for republication | _____ |
| 1803 | 130 | 1803 | 130 | Request for voluntary publication or republication | _____ |
| 1808 | 130 | 1808 | 130 | Processing fee under 37 CFR 1.17(l) (except provisionals) | _____ |
| 1454 | 1,300 | 1454 | 1,300 | Acceptance of unintentionally delayed claim for priority | _____ |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Judith A. Szepesi

Signature: Judith A. Szepesi Date: 4/29/03

Reg. Number: 39,393 Telephone Number: (408) 720-8300